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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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PETER J. SOLOMON COMPANY, L.P. and
PETER J. SOLOMON SECURITIES
COMPANY, LLC,

Plaintiffs,

-v-

ADC PRODUCTS (UK) LIMITED f/k/a
SPIROGEN LIMITED,

Defendant.

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14 **CIVIL** 4086 (LTS)

JUDGMENT

Whereas on March 30, 2016, the Court issued an Opinion and Order, granting Plaintiffs' motion for summary judgment on the issue of liability only and denying the Defendant's motion for summary judgment. Because there were material disputed issues of fact regarding the total amount of consideration ("Aggregate Consideration") paid in connection with the relevant AstraZeneca ("AZ") acquisition of Spirogen SARL and the corresponding advisory fee owed to Plaintiffs, the Court determined that a trial on the issue of damages was necessary. The parties subsequently requested briefing on damages in lieu of trial, which the Court granted. Plaintiffs thereafter moved for summary judgment on damages, seeking $3.75 million, plus pre-judgment interest, and the matter having come before the Honorable Laura Taylor Swain, United States District Judge, and the Court, on May 10, 2017, having rendered its Memorandum Order granting Plaintiffs' motion for summary judgment as to damages. Plaintiffs are entitled to judgment in the amount of $3.75 million for their fee, plus prejudgment interest of at the rate of 9 percent from October 15, 2013 ($1,204,828.77), for a total judgment of $4,954,828.77; and directing the Clerk of Clerk is directed to enter judgment in favor of Plaintiffs and close the case, it is,

ORDERED, ADJUDGED AND DECREED: That for the reasons stated in the

Court's Memorandum Order dated May 10, 2017, Plaintiffs' motion for summary judgment as to damages is granted. Plaintiffs are entitled to judgment in the amount of $3.75 million for their fee, plus prejudgment interest of at the rate of 9 percent from October 15, 2013 ($1,204,828.77), for a total judgment of $4,954,828.77; and judgment is entered in favor of Plaintiffs; accordingly, the case is closed.

Dated: New York, New York
May 10, 2017

RUBY J. KRAJICK

Clerk of Court

BY: K Mango

Deputy Clerk